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**GUST ROSENFELD P.L.C.**  
201 N. Central Avenue, Suite 3300  
Phoenix, AZ 85073.3300  
(602) 257-7473  
Michael Salcido - 009828

**Attorneys for Respondent**

**BEFORE THE ARIZONA CORPORATION COMMISSION**

**WILLIAM A. MUNDELL**  
Chairman  
**JIM IRVIN**  
Commissioner  
**MARC SPITZER**  
Commissioner

Arizona Corporation Commission  
**DOCKETED**

**DEC 31 2001**



In the matter of:

**CLAY EUGENE LAMBERT**  
3711 East Minton Place  
Mesa, Arizona 85215  
CRD No. 1959853,

Docket No. S-03413A-01-0000

**NOTICE OF FILING BANKRUPTCY  
INFORMATION**

Respondent.

Notice is given that Respondent Clay Lambert hereby files a copy of his Notice of Chapter 13 Bankruptcy Case, Meeting of Creditors, & Deadlines, Case No. 01-14885-PHX-RTB. Lambert's position is that automatic stay prohibits this Commission action from proceedings unless and until the Securities Division obtains a ruling from the Bankruptcy allowing it to proceed.

**RESPECTFULLY SUBMITTED:** December 31, 2001

**GUST ROSENFELD P.L.C.**

Michael Salcido  
Attorney for Respondent

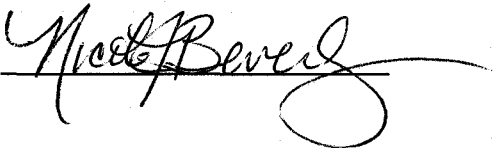
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**ORIGINAL** and ten (10) copies filed with:

Docket Control  
Arizona Corporation Commission  
1200 W. Washington  
Phoenix, AZ 85007

**COPY MAILED** to:

Mark Dinell  
Securities Division  
Arizona Corporation Commission  
1300 W. Washington, 3<sup>rd</sup> Floor  
Phoenix, AZ 85007-2929



## UNITED STATES BANKRUPTCY COURT

District of Arizona, Phoenix Division

## Notice of Chapter 13 Bankruptcy Case, Meeting of Creditors, &amp; Deadlines

The debtor(s) listed below filed a chapter 13 bankruptcy case on November 6, 2001.

You may be a creditor of the debtor. This notice lists important deadlines. You may want to consult an attorney to protect your rights. All documents filed in the case may be inspected at the bankruptcy clerk's office at the address listed below. NOTE: The staff of the bankruptcy clerk's office cannot give legal advice.

## See Reverse Side For Important Explanations.

Debtor(s) (name(s) and address):

LAMBERT, CLAY EUGENE

3711 E. MINTON PLACE  
MESA, AZ 85215

LAMBERT, RENEE JOANNE

3711 E. MINTON PLACE  
MESA, AZ 85215

Case Number:

01-14885-PHX-RTB

Social Security/Taxpayer ID Nos.:

[REDACTED]

Attorney for Debtor(s) (name and address):

Pro Se

Telephone number:

Bankruptcy Trustee (name and address):

RUSSELL A. BROWN

PO BOX 33970  
PHOENIX, AZ 85067-3970

Telephone number: (602) 277-8996

## Meeting of Creditors:

Date: December 19, 2001 Time: 02:00 P.M.

Location: OFFICE OF U.S. TRUSTEE, 2929 N. CENTRAL AVE., 8TH FLOOR, ROOM 820, PHOENIX, AZ

## Deadlines:

Papers must be received by the bankruptcy clerk's office by the following deadlines:

## Deadline to File a Proof of Claim:

For all creditors (except a governmental unit): March 19, 2002

For a governmental unit: May 6, 2002

## Deadline to Object to Exemptions:

Thirty (30) days after the conclusion of the meeting of creditors.

## Creditors May Not Take Certain Actions:

The filing of the bankruptcy case automatically stays certain collection and other actions against the debtor, debtor's property, and certain codebtors. If you attempt to collect a debt or take other action in violation of the Bankruptcy Code, you may be penalized.

Address of the Bankruptcy Clerk's Office:

U.S. Bankruptcy Court, Arizona  
P.O. BOX 34151  
Phoenix, AZ 85067-4151

Telephone number: 602-640-5800

For the Court:

Clerk of the Bankruptcy Court:



Kevin E. O'Brien

Hours Open:

9:00 a.m. to 4:00 p.m.

Date:

November 30, 2001

**EXPLANATIONS****FORM B9I(9/97)****Filing of Chapter 13  
Bankruptcy Case**

A bankruptcy case under chapter 13 of the Bankruptcy Code (title 11, United States Code) has been filed in this court by the debtor(s) listed on the front side, and an order for relief has been entered. Chapter 13 allows an individual with regular income and debts below a specified amount to adjust debts pursuant to a plan. A plan is not effective unless confirmed by the bankruptcy court. You may object to confirmation of the plan and appear at the confirmation hearing. A copy or summary of the plan, if not enclosed, will be sent to you later, and if the confirmation hearing is not indicated on the front of this notice, you will be sent notice of the confirmation hearing. The debtor will remain in possession of the debtor's property and may continue to operate the debtor's business, if any, unless the court orders otherwise.

**Creditors May Not Take  
Certain Actions**

Prohibited collection actions against the debtor and certain codebtors are listed in Bankruptcy Code §362 and §1301. Common examples of prohibited actions include contacting the debtor by telephone, mail or otherwise to demand repayment; taking actions to collect money or obtain property from the debtor; repossessing the debtor's property; starting or continuing lawsuits or foreclosures; and garnishing or deducting from the debtor's wages.

**Meeting of Creditors**

A meeting of creditors is scheduled for the date, time and location listed on the front side. *The debtor (both spouses in a joint case) must be present at the meeting to be questioned under oath by the trustee and by creditors.* Creditors are welcome to attend, but are not required to do so. The meeting may be continued and concluded at a later date without further notice.

**Claims**

A Proof of Claim is a signed statement describing a creditor's claim. If a Proof of Claim form is not included with this notice, you can obtain one at any bankruptcy clerk's office. If you do not file a Proof of Claim by the "Deadline to File a Proof of Claim" listed on the front side, you might not be paid any money on your claim against the debtor in the bankruptcy case. To be paid you must file a Proof of Claim even if your claim is listed in the schedules filed by the debtor. Local Bankruptcy Rule 2083-10 requires you to send a copy of a secured or priority claim to the trustee.

**Discharge of Debts**

The debtor is seeking a discharge of most debts, which may include your debt. A discharge means that you may never try to collect the debt from the debtor.

**Exempt Property**

The debtor is permitted by law to keep certain property as exempt. Exempt property will not be sold and distributed to creditors, even if the debtor's case is converted to chapter 7. The debtor must file a list of all property claimed as exempt. You may inspect that list at the bankruptcy clerk's office. If you believe that an exemption claimed by the debtor is not authorized by law, you may file an objection to that exemption. The bankruptcy clerk's office must receive the objection by the "Deadline to Object to Exemptions" listed on the front side.

**Bankruptcy Clerk's Office**

Any paper that you file in this bankruptcy case should be filed at the bankruptcy clerk's office at the address listed on the front side. You may inspect all papers filed at the bankruptcy clerk's office. The staff of the bankruptcy clerk's office cannot give legal advice. You may want to consult an attorney to protect your rights.

**---Refer to Other Side For Important Deadlines and Notices---****Dismissal of Case**

This case shall be dismissed if the debtor(s) fail to appear at the meeting of creditors or fail to timely file all required schedules and statements and Chapter 13 Plan.

All individual debtors must provide photo identification and proof of social security number to the trustee at the meeting of creditors. Failure to do so may result in your case being dismissed.